

FEB 3 11 35 AM 1964

UNITED STATES DEPARTMENT OF JUSTICE

WASHINGTON, D. C.

REGISTRATION SECTION

SUPPLEMENTAL REGISTRATION STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended

REGISTRATION NO. 5082

For Six Months Period Ending December 31, 1963
(Insert date)

1. (a) Name of Registrant.

Cleary, Gottlieb, Steen & Hamilton

(b) All other names used by Registrant during the period.

None

(c) Address of principal office.

1. 52 Wall Street, New York 5, N. Y.
2. 224 Southern Building, Washington 5, D. C.

(d) Name of person or persons in charge of principal office.

(See Annex 1)

3. If Registrant is a nonbusiness membership organization, state—

(a) Approximate number of members in the United States Inapplicable.

(b) Approximate number of members outside the United States Inapplicable.

4. (a) All persons who became partners, officers, directors, and similar officials of Registrant during the period.

Name and address
of official

Date connection began

Position, office, or nature
of duties

None

(b) All persons who ceased to be partners, officers, directors, or similar officials of Registrant during the period.

Name and address
of official

Date connection ended

Reason for ending
connection

None

5. (a) All branches and local units of Registrant and all other component or affiliated groups or organizations which began to operate during the period.

*Name and address of branch, unit,
group, or organization*

*Nature of connection with
Registrant*

*Name and address of person
in charge*

None

- (b) All branches and local units of Registrant and all other component or affiliated groups or organizations which ceased to operate during the period.

Name of branch, unit, group, or organization

Reason operations ceased

None

6. All persons who at any time during the period were foreign principals of Registrant.

*Name and principal
address*

*Is person still a foreign principal
of Registrant?*

*If not, give date connection
ended*

Government of the French Republic	Yes	
Conseil National du Patronat Francais	Yes	
European Coal & Steel Community	Yes	
Luxembourg		
European Economic Commission, Brussels	Yes	
European Atomic Energy Commission	Yes	
Brussels		
Colonial Sugar Refining Co., Ltd.	Yes	
Sydney, Australia		
Embassy of Japan, Washington, D. C.	Yes	(contract extended)

7. Describe fully all activities of Registrant during the period for or in the interests of each foreign principal named under item 6.

(See Annex 2)

8. Describe briefly all other businesses, occupations, and public activities in which Registrant engaged during the period.

Registrant is a New York Partnership, engaged in the general practice of law, representing corporate, governmental and private clients in New York, Washington, Paris and Brussels.

9. Furnish the following information as to all employees and other individuals except those named under item 4, who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6:

- (a) All such employees and other individuals for whom Short Form Registration Statements (Formerly Exhibits A) have previously been filed.

<i>Name and address of employee or other individual</i>	<i>Nature of any changes during period in activities for Registrant or its foreign principals</i>	<i>Has connection with Registrant ended?</i>
---	---	--

(See Annex 3)

- (b) All such employees and other individuals for whom Short Form Registration Statements (Formerly Exhibits A) have not been previously filed.

<i>Name and address of employee or other individual</i>	<i>Nature of services or assistance rendered</i>	<i>Has connection with Registrant ended?</i>
Albert J. Beveridge III 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D. C.	Employed as Associate	No
Klaus Pohle 506 E Street, N. W. Washington 1, D. C.	Preparation of memorandum on investment in the EEC	Yes (registration terminated)
Ernest C. Steefel 52 Wall Street New York 5, New York	Ditto	No
Clifford J. Hynning 1903-05 N Street, N. W. Washington 6, D. C.	Ditto	No

10. Furnish the following information as to Registrant's receipts and expenditures during the period covered by this statement. The information may, if Registrant desires, be furnished for Registrant's latest semiannual fiscal period, provided the period covered is indicated and future statements are furnished on the same basis:

- (a) All amounts received during the period directly or indirectly from each foreign principal named under item 6, itemized as follows:

<i>Date funds received</i>	<i>Name of foreign principal from whom funds received¹</i>	<i>Purposes for which received²</i>	<i>Amount received³</i>
----------------------------	---	--	------------------------------------

(See Annex 4)

- (b) All amounts received during the period from other sources to be used directly or indirectly for or in the interests of any foreign principal named under item 6, itemized as follows:⁴

<i>Date funds received</i>	<i>Name of person from whom received¹</i>	<i>Purposes for which received²</i>	<i>Amount received³</i>
----------------------------	--	--	------------------------------------

None

- (c) All expenditures made during the period directly or indirectly for or in the interests of each foreign principal named under item 6, itemized as follows:⁵

<i>Date payment was made</i>	<i>Name of person to whom payment was made¹</i>	<i>Purposes for which payment was made²</i>	<i>Amount of payment³</i>
------------------------------	--	--	--------------------------------------

(See Annex 5)

¹ Include all amounts so received, whether received as compensation, loans, contributions, subscriptions, fees, dues, subsidies, or otherwise.

² Receipts from or payments to a person amounting to less than \$200 for the period may be combined with other like amounts, provided the source or disposition of the funds, as the case may be, is clearly indicated.

³ Where funds were received or paid, as the case may be, for various purposes, such purposes shall be listed in reasonable detail.

⁴ Show separately the amount received or paid, as the case may be, for each purpose listed under the preceding column.

⁵ Include all transfers of funds to any foreign principal.

11. (a) Speeches, lectures, talks, and radio broadcasts arranged or sponsored by Registrant or delivered by officials or employees of Registrant, during the period.

*Name of person by
whom delivered*

*Number of speeches, lectures,
and talks delivered*

*Number of radio broadcasts
delivered*

None for, on behalf, or in the interests of foreign principals.

- (b) Publications prepared or distributed by Registrant, or by others for Registrant, or in the preparation or distribution of which Registrant rendered any services or assistance, during the period. (Indicate each type of publication by an "X.")

- | | | |
|------------------------------|---|-----------------------------------|
| (1) Press releases | (8) Circulars | (15) Lantern slides |
| (2) News bulletins | (9) Form letters | (16) Still pictures |
| (3) Newspapers | (10) Reprints | (17) Posters |
| (4) Articles | (11) Copies of speeches, lec-
tures, talks, or radio
broadcasts | (18) Photographs |
| (5) Books | (12) Radio programs | (19) Charts |
| (6) Magazines | (13) Radio scripts | (20) Maps |
| (7) Pamphlets | (14) Moving pictures | (21) Other publications |

None for, on behalf, or in the interests of foreign principals.

- (c) Preparation and distribution of publications referred to in answer to (b) above.

*Description of
publication*

*By whom written, edited,
or prepared*

*By whom printed, produced,
or published*

*By whom
distributed*

Inapplicable.

- (d) Compliance with the filing, labeling, and reporting provisions of Section 4 of the Foreign Agents Registration Act of 1938, as amended, and Rules 400-403 thereunder.

- (1) Were copies or summaries of all communications and publications referred to in answer to (a) and (b) above filed with the Department of Justice and the Librarian of Congress? If not, explain why copies or summaries of any such communications and publications were not filed.

Inapplicable.

- (2) Were all such communications and publications labeled in accordance with Section 4 and Rules 400-403? If not, explain why any such communications and publications were not so labeled.

Inapplicable.

- (3) Were reports of the delivery, distribution, or other dissemination of all such communications and publications made to the Department of Justice in accordance with Section 4 and Rules 400-403? If not, explain why any such reports were omitted.

Inapplicable.

12. (a) Any changes during the period, not fully described above, in Registrant's affiliations, associations, or other connections with foreign governments, foreign political parties, or officials or agencies thereof.

*Name of government, party, or official,
or agency thereof*

*Nature of changes during period in Registrant's
connections therewith*

None

- (b) Any changes during the period in Registrant's pecuniary interest in or control over partnerships, corporations, associations, or other organizations or combinations of individuals.

*Name of organization or
combination*

*Nature of changes during period in
Registrant's ownership or other
pecuniary interest*

*Nature of changes during period in any
direction or control exercised
by Registrant*

None

13. (a) Any changes during the period in the ownership of or supervision, direction or control over Registrant by any organization, group, or individual.

*Name of organization, group,
or individual*

*Nature of changes during period in ownership,
supervision, direction, or control*

None

- (b) Any subsidy or other financial assistance received by Registrant during the period directly or indirectly from—

Any individual who is a citizen of, or resides in, a foreign country.

Any organization created in, or under the laws of, any foreign country or having its principal place of business in a foreign country.

Any foreign government or foreign political party, or any official or agency thereof.

*Name of person from whom subsidy or
financial assistance received*

*Nature and amount of subsidy or
financial assistance*

None

14. File the following exhibits with this statement:

Short Form Registration Statement - File a Short Form Registration Statement, on the printed form provided therefor, for each of the following persons for whom a Short Form Registration Statement (formerly Exhibit A) has not previously been filed:

- (a) All partners, officers, directors, and similar officials of Registrant.
- (b) All employees or other individuals who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6.

Exhibit B.—File a copy of any changes during the period in the agreement, arrangement, or authorization (or if not in writing a written description thereof) pursuant to which Registrant is acting for, or receiving funds from, each foreign principal named under item 6.

Exhibit C.—File an Exhibit C, on the printed form provided therefor, for each foreign principal named under item 6 for whom an Exhibit C has not previously been filed.

Exhibit D.—If Registrant is a nonbusiness organization, file a copy of any changes during the period in its charter, constitution, bylaws, or other instruments of organization.

Exhibit E.—File a copy of the agreement or arrangement (or if not in writing, a written description thereof) between the Registrant and each business firm or other organization named under item 11 (c), and copies of all changes during the period in similar contracts previously filed.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in Exhibit A insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)


(Signature)

Robert C. Barnard

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths, by a majority of those partners, officers, directors, or persons performing similar functions who are in the United States. If no such person is in the United States, the statement shall be signed and sworn to by the duly authorized representative of the Registrant.)


(Signature)

John K. Mallory, Jr.

(Signature)

(Signature)

Subscribed and sworn to before me at

Washington Dc

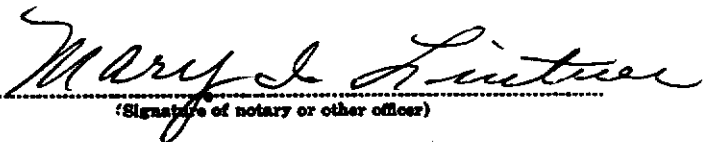
this

31st

day of

January

1964


(Signature of notary or other officer)

My commission expires

Dec. 31

1968

Annex 1

Name of Person or Persons in Charge of Principal Office.

George E. Cleary

Leo Gottlieb

Melvin C. Steen

Fowler Hamilton

Thomas J. Kiernan

Lyman M. Tondel, Jr.

John F. Ward

Robert G. Barnard

James G. Johnson, Jr.

Robert W. Wales

Richard H. Moore

John J. B. Shea

Jerome E. Hyman

Joseph Morgan

John K. Mallory, Jr.

William L. Lynch

Edmund H. Kerr

Mark W. Frawley, Jr.

George E. DeSipio

James W. Lamberton

Andre W. G. Newburg

Richard B. Webster

Annex 2DESCRIPTION OF ACTIVITIES OF REGISTRANT FOR
EACH FOREIGN PRINCIPAL NAMED UNDER ITEM 61. Government of the French Republic

Registrant acts as counsel to the French Financial Counselor, the French Commercial Counselor, and the French Controller, who are representatives of the Government of the French Republic. In this capacity, during the period partners and associates of the registrant advised these officers with respect to American legislative proposals and administrative acts and proposals which affected French trade and French financial and commercial interests. Registrant also advised these officers with respect to contracts and business transactions.

Registrant also acts as counsel to the French Air, Naval and Military Attaches, who are officers of the Government of the French Republic. In this capacity, during the period partners and associates of the registrant advised these officers with respect to contracts in which these officers were interested and also with respect to various laws and ordinances affecting the operation of their mission.

2. Conseil National du Patronat Francais

Registrant acts as counsel to Conseil National du Patronat Francais. Registrant advised the Conseil's related association, Comite France Actuelle with respect to legal matters relating to letters, contracts, leases, and the publication of the newsletter, "France Actuelle."

3. European Coal and Steel Community

Registrant acts as counsel to the High Authority of the European Coal and Steel Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the High Authority, as well as other officials of the European Coal and Steel Community, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States. Also during the period, registrant furnished general legal advice to the United States Information Office of the Community with respect to ordinary legal matters.

4. European Economic Community Commission

Registrant acts as counsel to the Commission of the European Economic Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the Commission, as well as other officials of the Commission, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States, private investment abroad, financing, and other subjects of interest. Also during the period, registrant furnished general legal advice to the United States Information Office of the Community with respect to ordinary legal matters.

Annex 2 (cont'd)

5. European Atomic Energy Community Commission

Registrant acts as counsel to the Commission of the European Atomic Energy Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the Commission, as well as the Community's representative in the United States with respect to tax matters and to American legislative and administrative acts and proposals affecting the European atomic energy program and the Community's joint programs with the United States. Also during the period, registrant furnished general legal advice to the United States Information Office of the Commission, with respect to ordinary legal matters such as contracts and leases.

6. Colonial Sugar Refining Company, Ltd.

Registrant acts as counsel to Colonial Sugar Refining Co., Ltd. In this capacity, during the period partners and associates of the registrant advised the company with respect to legal problems involving the Sugar Act and its administration, as well as with respect to American legislative and administrative acts and proposals affecting the United States sugar market.

7. Embassy of Japan

Registrant prepared for the Embassy research reports on legal and related problems with respect to investment techniques, incentives and obstacles as applied within the European Common Market countries, including patents, licensing and other arrangements.

Name and Address of Employee or Other Individual	Nature of any changes during period in activi- ties for registrant or its foreign principals	Has connec- tion with registrant ended?
Robert C. Barnard 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5 D.C.	None	No
James G. Johnson, Jr. 52 Wall Street, New York 5, N. Y.	None	No
Jan M. Z. Kaczmarek 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D. C.	None	No
Robert Michael Duncan 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D. C.	None	No
George V. Egge, Jr. 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D. C.	None	No
Donald L. Morgan 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D.C.	None	No
Roland S. Homet, Jr. 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D. C.	None	No
Melvin C. Steen 52 Wall Street, New York 5, N. Y.	Rendered no services on behalf of foreign principles during the period.	No
Thomas J. Kiernan 52 Wall Street, New York 5, N. Y.	Ditto	No
Lyman M. Tondel 52 Wall Street, New York 5, N.Y.	Ditto	No
John F. Ward 52 Wall Street, New York 5, N. Y.	Ditto	No
Robert W. Wales 52 Wall Street, New York 5, N. Y.	Ditto	No
John K. Mallory, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C.	Ditto	No
Edmund H. Kerr 52 Wall Street, New York 5, N. Y.	Ditto	No
Walter S. Rothschild 52 Wall Street, New York 5, N. Y.	Ditto	No

Annex 3 (cont'd)

James W. Lamberton 52 Wall Street, New York 5, N. Y.	Ditto	No
Morton M. Winston 52 Wall Street, New York 5, N. Y. Southern Building, Washington 5, D.C.	Ditto	No
William Y. Sayad 52 Wall Street, New York 5, N. Y.	Ditto	No
Lawrence J. Ebb Formerly 52 Wall Street, New York 5, N. Y.	Ditto (registration terminated)	Yes

Annex 4

Date Funds Received	Name of foreign principal from whom funds received	Purposes for which received	Amount received
July 2	French Government	Fee & Disbursements	\$ 1,511.75
August 8	French Government	Fee & Disbursements	2,039.83
December 19	French Government	Fee & Disbursements	2,000.50
December 31	French Government	Fee & Disbursements	2,000.30
December 31	French Government	Fee & Disbursements	1,799.04
December 16	Conceil National du Patronat	Fee & Disbursements	12,500.00
July-Dec	Conceil National du Patronat	Disbursements	840.00
August 21	European Coal & Steel Community	Fee & Disbursements	3,596.02
December 31	European Economic Community Commission	Fee & Disbursements	3,214.00
August 6	European Atomic Energy Commission	Fee & Disbursements	4,815.14
July 3	Colonial Sugar Refining Co.	Fee & Disbursements	1,060.68
September 5	Colonial Sugar Refining Co.	Fee & Disbursements	1,607.81
October 11	Colonial Sugar Refining Co.	Fee & Disbursements	770.81
November 8	Colonial Sugar Refining Co.	Fee & Disbursements	2,131.33
October 28	Embassy of Japan	Fee	3,500.00

Annex 5

Date Payment Was Made	Name of Person to Whom Payment was Made	Purpose for Which Payment Was Made	Amount of Payment
			\$
	<u>FRENCH REPUBLIC</u>		
July 1 Dec 31, '63	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	132.73
	<u>CONCEIL NATIONAL DU PATRONAT</u>		
July 1 Dec 31, '63	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	42.35
July 1 Dec 31, '63	Smoot Sand & Gravel Co. Washington, D. C.	Rent & Electricity (Southern Building)	840.00
	<u>EUROPEAN COAL & STEEL COMMUNITY</u>		
July 1 Dec 31, '63	Various persons in New York and Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	24.88
	<u>EUROPEAN ECONOMIC COMMUNITY COMMISSION</u>		
July 1 Dec 31, '63	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	43.21
	<u>EUROPEAN ATOMIC ENERGY COMMISSION</u>		
July 1 Dec 31, '63	Various persons in Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	36.24
	<u>COLONIAL SUGAR REFINING CO., LTD.</u>		
July 1 Dec 31, '63	Various persons in New York and Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	210.89
July 1 Dec 31, '63	American Cable & Radio Washington, D. C.	Cables	297.85
	<u>EMBASSY OF JAPAN</u>		
July 1 Dec 31, '63	Various persons in New York and Washington	Supplies, business services, trans- portation, postage, cables, tolls, etc.	155.13
October 22, '63	Klaus Pohle	Fee & travel expenses	135.00
Nov. 22, '63	Klaus Pohle	Fee & travel expenses	172.00
Nov. 26, '63	Clifford J. Hynning	Fee	1,400.00